

Q.P. Code:00001069

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| [Time:3.00 Hrs.] | | [Marks:60] |
| Please check whether you have got the right question paper. | | |
| N.B: | 1. Q.1 would be compulsory and would carry 20 Marks. 2. Students have to attempt any four out of the remaining six Questions (Q.2 to Q.7) and within each question; students have to attempt any two Out of three sub – questions. | |

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| Q.1 | Multiple choice questions with appropriate options: (Compulsory): 1. The terms of the exchange of money between parties. (a)Two (b) Three (c)One (d)Four 2. Shareholders' ownership interest in a company is represented by (a) Exchange securities (b) Debt securities (c) Derivative securities (d) equity securities. 3. IPO stands for: (a) initial private offering (b) initial public offering (c) initial post offering (d) intermediate public offering 4. The refers to the marketplace where investment funds like bonds, shares, and mortgages are traded. (a) capital market (b) money market (c) social market (d) consumer market 5., also known as fixed-income securities. (a) Equity securities (b) equity securities. (c) Exchange securities (d) Debt securities 6. The primary capital market is another name for the (a) New Initial Market (b) New Issue Movement (c) New Issue Market (d) New Indian Market 7. Futures and options are traded in the market (a) derivative (b) perfect (c) oligopoly (d) monopoly 8. securities are intricate goods. (a) Equity (b) Hybrid (c) Debt (d) Futures 9. An is the selling of securities to the public in the primary market. (a) initial public offers (b) initial private offering (c) initial post offering (d) intermediate public offering 10. The capital market in India is controlled by: (a) RBI (b) IRDA (c) SEBI (d) NABARD | 20X1 |
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| | <p>11. The money market where debt and stocks are traded and maturity period is more than a year is known as.....</p> <p>(a) Capital market (b) Counter market (c) Long term market (d) short term market</p> <p>12. The regulatory body for the securities market in India is</p> <p>(a) IRDA (b) RBI (c) SEBI (d) NABARD</p> <p>13.is the variation in a security's returns brought on by changes in the overall market.</p> <p>(a) market risk (b) interest rate risk (c) purchasing power risk (d) regulation risk</p> <p>14. Is also commonly referred to as inflation risk.</p> <p>(a) regulation risk (b) purchasing power risk (c) market risk (d) interest rate risk</p> <p>15.risk are associated with operating a business in a specific sector or setting.</p> <p>(a) market risk (b) Business risk (c) interest rate risk (d) purchasing power risk</p> <p>16. Country risk and currency rate risk are both examples of :</p> <p>(a) international risk (b) Business risk (c) market risk (d) interest rate risk</p> <p>17. Political risk, often known as</p> <p>(a) Business risk (b) country risk (c) international risk (d) market risk</p> <p>18.results from a politically powerful group taking advantage of a politically weaker group.</p> <p>(a) country risk (b) international risk (c) Business risk (d) political risk</p> <p>19. The systemic risk of a security that cannot be mitigated by diversification is measured by</p> <p>(a) beta (b) standard deviation (c) alpha (d) variance</p> <p>20. is the term for variation in a security's total returns that is directly related to broad trends in the market or economy:</p> <p>(a) Non-systematic Risk (b) country risk (c) Systematic (market) risk (d) international risk</p> | |
| Q.2 | <p>Attempt any two</p> <p>A) Explain Random Walk theory</p> <p>B) Discuss the various types of factor model.</p> <p>C) Write note on Investment theory.</p> | 5X2 |

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| Q.3 | <p>Attempt any two.</p> <p>A) Explain the Dow Theory</p> <p>B) Write note on Type of Charts - Construction of Charts.</p> <p>C) What are the uses of Efficient Market Hypothesis?</p> | 5X2 |
| Q.4 | <p>Attempt any two.</p> <p>A) Write note on structure of securities market.</p> <p>B) Explain Business Risk.</p> <p>C) Write note on warrants.</p> | 5X2 |
| Q.5 | <p>Attempt any two.</p> <p>A) Write note on Bond valuation.</p> <p>B) Explain the characteristics of Securities market.</p> <p>C) Differentiate between Systematic versus Non-systematic Risk.</p> | 5X2 |
| Q.6 | <p>Attempt any two.</p> <p>A) Write note on Capital Market Line.</p> <p>B) Differentiate between Indexing and Benchmarking.</p> <p>C) What are the technical indicators?</p> | 5X2 |
| Q.7 | <p>Attempt any two Short Notes.</p> <p>A) Discuss the difference between CML and SML.</p> <p>B) Enumerate the difference between Equity and Hybrid securities.</p> <p>C) Write note on Probability V/s Absolute loss in risk management.</p> | 5X2 |